

Last Updated on Oct 10, 2018

## Trade-Related Services

### Hong Kong Futures Contract

Futures Contract	Commission (Day, O/N, Auto-Settle)	Trading Fee (Per side)	Levy (Per side)	Auto-Settlement Fee
HSI Futures	Negotiable	HKD 10	HKD 0.54	HKD 10
MHI Futures	Negotiable	HKD 3.5	HKD 0.1	HKD 3.5
HHI Futures	Negotiable	HKD 3.5	HKD 0.54	HKD 3.5
MCH Futures	Negotiable	HKD 2	HKD 0.1	HKD 2
HSI Volatility Index (VHSI) Futures	Negotiable	HKD 10	HKD 0.54	HKD 10
CES China 120 Index Futures	Negotiable	HKD 10	HKD 0.54	HKD 10
USD/CNH Futures	Negotiable	CNY 8	N/A	CNY 8
EUR/CNH Futures	Negotiable	CNY 5	N/A	CNY 5
JPY/CNH Futures	Negotiable	CNY 5	N/A	CNY 5
AUD/CNH Futures	Negotiable	CNY 5	N/A	CNY 5
CNH/USD Futures	Negotiable	USD 0.60	N/A	USD 0.60
London Aluminium Mini Futures	Negotiable	CNY 3	CNY 0.44	CNY 3
London Zinc MiniFutures	Negotiable	CNY 3	CNY 0.44	CNY 3
London Copper Mini Futures	Negotiable	CNY 5	CNY 0.44	CNY 5
London Nickel Mini Futures	Negotiable	CNY 3	CNY 0.44	CNY 2
London Lead Mini Futures	Negotiable	CNY 3	CNY 0.44	CNY 3
London Tin Mini Futures	Negotiable	CNY 3	CNY 0.44	CNY 2
CES Gaming Top 10 Index Futures	Negotiable	HKD 2	HKD 0.54	HKD 2
Hang Seng Mainland Oil & Gas Index Futures	Negotiable	HKD 2	HKD 0.54	HKD 2
Hang Seng Mainland Banks Index Futures	Negotiable	HKD 2	HKD 0.54	HKD 2
Hang Seng Mainland Healthcare Index Futures <sup>2</sup>	Negotiable	HKD 2	HKD 0.54	HKD 2
Hang Seng Mainland Properties Index Futures <sup>2</sup>	Negotiable	HKD 2	HKD 0.54	HKD 2
Hang Seng IT Hardware Index Futures	Negotiable	HKD 2	HKD 0.54	HKD 2
Hang Seng Software & Service Index Futures	Negotiable	HKD 2	HKD 0.54	HKD 2
CNH Gold Futures <sup>1</sup>	Negotiable	CNY 6 <sup>1,3</sup>	CNY 0.5 <sup>2</sup>	CNY 12 <sup>1</sup>
USD Gold Futures <sup>1</sup>	Negotiable	USD 1 <sup>1,3</sup>	USD 0.07 <sup>4</sup>	USD 2 <sup>1</sup>
FEM - Iron ORE Futures	Negotiable	USD 1 <sup>1,3</sup>	USD 0.07 <sup>4</sup>	USD 1 <sup>1</sup>
MSCI AC Asia ex Japan Net Total Return Index (USD)	Negotiable	USD 0.5 <sup>5</sup>	USD 0.07 <sup>4, 5</sup>	USD 0.5
Stock Futures	Negotiable	Tier 1: HKD 3.0 Tier 2: HKD 1.0 Tier 3: HKD 0.5 <sup>6</sup>	HKD 0.1	

### Hong Kong Index Options Contract

Index Options Contract	Commission (Incl. Contract Expiry Auto-Settle)	Trading Fee (Per side)	Levy (Per side)	Exercise Fee
HSI Options	Negotiable	HKD 10	HKD 0.54	HKD 10
MHI Options	Negotiable	HKD 2	HKD 0.1	HKD 2
H-shares Index Options	Negotiable	HKD 3.5	HKD 0.54	HKD 3.5
MCH Options	Negotiable	HKD 1	HKD 0.54	HKD 1
USD/CNH Options <sup>3</sup>	Negotiable	CNY 8	N/A	CNY 8

■ Cabinet Transactions :

- (1) These are options that are considered worthless and may be closed out on a nominal value of HKD10 per contract, which includes all trading fees, but excludes commissions.
- (2) These contracts will be displayed on the price reporting screens as "CAB" bid since an option cannot be traded when the premium value is smaller than the standard minimum price increment for such option product , i.e., 1 bp.

■ The USD/CNH Futures handling charge for physical delivery : HKD350

- Pursuant to an exemption notice made under section 25(1) of the Securities and Futures (Investor Compensation Levy) Rules and gazetted on 11 November 2005, no Investor Compensation Levy shall be payable in respect of a sale and purchase of futures and options contract on or after 19 December 2005 until further notice.

<sup>1</sup> The above amounts can be changed from time to time.

<sup>2</sup> The Levy per contract will be the CNY or USD equivalent of HKD 0.54. The amount indicated above is subject to change by the Exchange from time to time. The exchange rate of CNY/HKD and USD/HKD will be determined by the Exchange.

<sup>3</sup> The Trading Fee will be exempted to 31 December 2018.

<sup>4</sup> The USD equivalent of HKD 0.54 per contract at the exchange rate determined by the HKFE from time to time.

<sup>5</sup> The exchange fee and commission levy will be exempted for the first six months of trading, i.e. from 11 June 2018 to 10 December 2018.

<sup>6</sup> There is a trading fee waiver all accounts used for trading Stock Futures Contracts between 1 March 2018 and 31 December 2018, except stock futures on Tracker Fund (TRF) and Hong kong China Enterprises Index Futures (HCF) .

**Global Futures / Index Option Contract**

Exchange	Contract Name	SP product code/sub code	Internet-trade	Phone-trade
CBOT	T-BOND	ZB / ZB	Negotiable	Negotiable
CBOT	10YRS US T-NOTES	ZN / ZN	Negotiable	Negotiable
CBOT	Mini Soybean	XK / XK	Negotiable	Negotiable
CBOT	Mini Wheat	XW / XW	Negotiable	Negotiable
CBOT	Mini Corn	XC / XC	Negotiable	Negotiable
CBOT	Wheat	ZW / ZW	Negotiable	Negotiable
CBOT	Soybean	ZS / ZS	Negotiable	Negotiable
CBOT	Oats	ZO / ZO	Negotiable	Negotiable
CBOT	Corn	ZC / ZC	Negotiable	Negotiable
CBOT	Soybean Oil	ZL / ZL	Negotiable	Negotiable
CBOT	Dow Jones Index \$25	DD / DD	Negotiable	Negotiable
CBOT	Mini Dow Jones Index \$5	YM / YM	Negotiable	Negotiable
CBOT	Dow Jones Index \$10	ZD / ZD	Negotiable	Negotiable
CME	Nikkei 225 Index Futures (USD)	NKD / NKD	Negotiable	Negotiable
CME	Lean Hogs	HE / HE	Negotiable	Negotiable
CME	Live Cattle	LE / LE	Negotiable	Negotiable
CME	E-mini Japanese Yen	J7 / J7	Negotiable	Negotiable
CME	E-mini Euro	E7 / E7	Negotiable	Negotiable
CME	E-micro AUD/USD	M6A / M6A	Negotiable	Negotiable
CME	E-micro USD/CHF	M6S / M6S	Negotiable	Negotiable
CME	E-micro EUR/USD	M6E / M6E	Negotiable	Negotiable
CME	E-micro USD/CAD	M6C / M6C	Negotiable	Negotiable
CME	Swiss Francs	CHF / 6S	Negotiable	Negotiable
CME	Renminbi	RMB / RMB	Negotiable	Negotiable
CME	New Zealand Dollars	NZD / 6N	Negotiable	Negotiable
CME	Japanese Yen	JPY / 6J	Negotiable	Negotiable
CME	Euro	EUR / 6E	Negotiable	Negotiable
CME	Canadian Dollars	CAD / 6C	Negotiable	Negotiable
CME	British Pounds	GBP / 6B	Negotiable	Negotiable
CME	Australian Dollars	AUD / 6A	Negotiable	Negotiable
CME	Nikkei 225 Stock Index	NIY / N1	Negotiable	Negotiable
CME	S&P 500 Stock Index	SP / SP	Negotiable	Negotiable
CME	Mini Nasdaq Index	NQ / NQ	Negotiable	Negotiable
CME	Nasdaq 100 Index	ND / ND	Negotiable	Negotiable

CME	E-Mini S&P 500 Stock Index	ES / ES	Negotiable	Negotiable
COMEX	High Grade Copper	HG / HG	Negotiable	Negotiable
COMEX	Silver	SI / SI	Negotiable	Negotiable
COMEX	Gold	GC / GC	Negotiable	Negotiable
EUREX	FDAX	FDAX / FDAX	Negotiable	Negotiable
IPE	Brent Crude OIL	COIL / COIL	Negotiable	Negotiable
LIFFE	London White Sugar	LSU / W	Negotiable	Negotiable
LIFFE	London Robusta Coffee	LKD / RC	Negotiable	Negotiable
LIFFE	FTSE 100 Stock Index	FFI / FFI	Negotiable	Negotiable
LIFFE	CAC Index 法國	CAC40 / FCE	Negotiable	Negotiable
LME	Zinc	LZS / LZS	Negotiable	Negotiable
LME	Tin	LSN / LSN	Negotiable	Negotiable
LME	Nickel	LNI / LNI	Negotiable	Negotiable
LME	Lead	LPB / LPB	Negotiable	Negotiable
LME	Copper	LCA / LCA	Negotiable	Negotiable
LME	Aluminum	LAH / LAH	Negotiable	Negotiable
NYBOT	Cocoa	CC / CC	Negotiable	Negotiable
NYBOT	Sugar #11	SB / SB	Negotiable	Negotiable
NYBOT	Orange Juice	OJ / OJ	Negotiable	Negotiable
NYBOT	Coffee	KC / KC	Negotiable	Negotiable
NYBOT	Cotton	CT / CT	Negotiable	Negotiable
NYBOT	US Dollar Index	DX / DX	Negotiable	Negotiable
NYMEX	Mini Natural Gas	QG / QG	Negotiable	Negotiable
NYMEX	Natural Gas	NG / NG	Negotiable	Negotiable
NYMEX	Mini Crude Oil	QM / QM	Negotiable	Negotiable
NYMEX	Crude Oil	CL / CL	Negotiable	Negotiable
NYMEX	Platinum	PL / PL	Negotiable	Negotiable
NYMEX	Palladium	PA / PA	Negotiable	Negotiable
OSE	Nikkei 225 Mini	JNM / JNM	Negotiable	Negotiable
OSE	Nikkei 225 Stock Index	N225 / JNI	Negotiable	Negotiable
SFE	SPI 200 Index	YAP / YAP	Negotiable	Negotiable
SIMEX	SGX CNX NIFTY INDEX	IN / SIN	Negotiable	Negotiable
SIMEX	SGX FTSE/XHUA CHINA A50	CN / CN	Negotiable	Negotiable
SIMEX	Nikkei 225 Mini	SGXNS / SNS	Negotiable	Negotiable
SIMEX	MSCI Taiwan Stock Index	STW / STW	Negotiable	Negotiable
SIMEX	Nikkei 225 Stock Index	SGXNK / SSI	Negotiable	Negotiable

### Financing and Other Services



Item	Charges
Reprint Daily / Monthly statement	Within 3 months : Free Over 3 months : HKD 50 each (Extra HKD 50 if the Certified True Copy is needed)
Remittance Charge (Local)	HKD CHATS – HKD 50 USD CHATS – USD 6.5 (or the cost of bank charges (varies between different banks), whichever is higher, will be charged)
Telegraphic Transfer (Overseas)	HKD 195 / USD 25 for HKD/USD remittance USD 35 for foreign currencies other than HKD and USD (or the cost of bank charges (varies between different banks), whichever is higher, will be charged)
Issue Cheque Charge	Amount below HKD 50, HKD 10 per cheque
Returned Cheque Fee	HKD 150 + Bank penalty charges
Confirmation for unknown deposit item (After one month)	HKD 50 per transaction (Charged by receiving bank)
Overdue/Debit Interest Rate	HKD : SCB HK Dollar Prime Rate + 2% USD : United States Prime Rate + 4.7% CNY : People's Bank of China - RMB Benchmark Loan Interest Rates + 5% Other Currencies : United States Prime Rate + 5.2%

### Account Maintenance Services

Item	Charges
Custodian Fee	Waived
Audit Confirmation Fee	HKD 50 per application

### Information Services

Item	Charges
Monthly fee for applying US CME group real-time price quote (Including CBOT, CME, NYBOT and NYMEX, 4 Global Futures Market)	USD 15.00 per month

- Fees and charges are subject to change without prior notice.
- All out of pocket expenses (if any) which are not stated in the above list should be charged at cost and the cost should be borne by the customer.