

## Securities Account Maintenance Form 證券戶口服務表單

To: SinoPac Securities (Asia) Limited ("SPSAL")  
永豐金證券(亞洲)有限公司 (「永豐金」)

Please "✓" in the appropriate boxes. 注意:請於合適方格填上"✓"。

一、Client Information 客戶資料 (Please write in BLOCK letters 請用正楷填寫)			
Securities Account Number 戶口號碼			
Primary Account Name 客戶姓名 (中文 Chinese)	(英文 English)		
二、Account Use Intention 戶口使用意願			
I/We would like to 本人/吾等希望			
<input type="checkbox"/> Continue to maintain the above Account. 繼續使用上述戶口。 "Client Personal Information Change" and "Update of Financial Profile and Anticipated Activities" (part 3 and 4 below) must be completed. 必需填妥"戶口基本資料更改"及"財務狀況及預期戶口活動更新"(以下第三點及第四點)			
<input type="checkbox"/> Suspend the above Account until my/our further notice to SPSAL. 暫停上述戶口·直至本人/吾等另行通知永豐金。			
<input type="checkbox"/> Close the above Account. I/We confirm that there is no outstanding balance and charges incurred in above account. 取消上述戶口·本人/吾等並確認上述戶口已沒有持有任何結存及未清繳之費用。			
三、Client Information Change 戶口基本資料更改			
<input type="checkbox"/> I / We would like to provide new information for SPSAL to update the information 本人/吾等願提供最新的基本資料供永豐金更新資料			
<input type="checkbox"/> Company Identification Supporting Document Number 公司證明文件號碼 (If any change, please attach a certified true copy of the new document. 如有更改·請附上新文件之經認證真確副本)			
<input type="checkbox"/> Business Address/Correspondence Address/Registered Office Address 辦事處地址/通訊地址/註冊地址>(* Delete if not applicable 刪去不適用者) (If any change, please attach a copy of address proof of the new address. 如有修改·請附上新地址之證明文件副本):			
<input type="checkbox"/> Contact Telephone Number 聯絡電話號碼			
<input type="checkbox"/> Contact Tel. 聯絡電話	<input type="checkbox"/> Office Tel. 公司電話	<input type="checkbox"/> Mobile Phone No. 手提電話	<input type="checkbox"/> Fax No. 傳真
<input type="checkbox"/> E-mail address 電郵地址: _____			
<input type="checkbox"/> I/We agree to subscribe to the E-Statements Services to receive the following information by email 本人/吾等同意當使用電子結單服務收取以下資料			
<input type="checkbox"/> Trade Confirmation 交易核實資料書 <input type="checkbox"/> Daily Statement 日結單 <input type="checkbox"/> Monthly Statement 月結單			
<input type="checkbox"/> Both Daily Statement and Monthly Statement 日結單及月結單			
<input type="checkbox"/> Daily Statement, Monthly Statement and Trade Confirmation 日結、月結單及交易核實資料書			
<input type="checkbox"/> Bank Name & Bank Account Number 銀行名稱及銀行戶口號碼 (Please attach the bank account documents 請附上銀行戶口證明文件):			
<input type="checkbox"/> Others (such as shareholder or director information) 其他(如股東或董事資料) (Please provide details of change 請述明更新資料):			
<input type="checkbox"/> I / We confirm that my/our information remain unchanged and do not need to be modified/updated. 本人/吾等確認個人資料無變並無需修改/更新。			

<Please continue filling out the back side 請翻至背面繼續填寫>

**四、Update of Financial Profile and Anticipated Activities 財務狀況及預期戶口活動更新**

Paid-up Capital(in HK\$) 繳足股本(港元)_____	Net Asset Value(in HK\$) 淨資產值(港元)_____	Major Assets 主要資產_____
Net Profit(After Tax) in preceding year(in HK\$) 上一年除稅後純利(港元)_____	Year 年份_____	

**Source of origin of funds 資金來源 (choose one or more 可選多項)**

- Trading Profits 營業利潤       Manufacturing Profit 生產收益       Dividend/Interest 股息/利息收入  
 Service Income 服務收入       Rental Income 租金收入       Others 其他(Please specify 請述明)\_\_\_\_\_

**Source of wealth 財富來源 (choose one or more 可選多項)**

- Development/ Investment 發展投資       Intragroup Financing 集團內部融資       Fund from shareholders 股東資金  
 Business Ownership 企業所有權       Return on Investment 投資回報       Loan – Business 貸款 - 業務  
 Others 其他(Please specify 請述明)\_\_\_\_\_

**Estimated annual income (in HK\$) 估計年度收入(港元)**

- Less than 至少 200,000       200,001 – 500,000       500,001 – 1,000,000  
 1,000,001 – 2,000,000       2,000,001 – 5,000,000       Over 多於 5,000,000

**Approximate net value of assets (in HK\$) 概約資產淨值(港元)**

- Less than 至少 500,000       500,001 – 1,000,000       1,000,001 – 3,000,000  
 3,000,001 – 8,000,000       8,000,001 – 40,000,000       Over 多於 40,000,000

**Anticipated nature of account activities 預期戶口活動性質 (choose one or more 可選多項)**

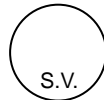
- Listed equities       Listed futures / options       Placement       OTC products       Fund       Others (Please specify 請述明)\_\_\_\_\_

**Anticipated level of account activities 預期戶口投資金額**

- Less than 至少 500,000       500,001 – 1,000,000       1,000,001 – 3,000,000  
 3,000,001 – 8,000,000       8,000,001 – 40,000,000       Over 多於 40,000,000

**五、Client Declarations 客戶聲明**

I/We understand that the above instruction will be effective within 5 working days after the form have been received by SPSAL.  
 本人/吾等明白上述指示將於永豐金收到此表單後五個工作天內生效。

  
 S.V.

Signed as Individual as \* Director(s)/Authorized Person(s)/ Shareholder(s) on behalf of the Company  
 以個人名義及 \* 董事 / 授權人 / 股東 身份代表本公司簽署

\*Delete if not applicable 刪去不適用者

Name of Company 公司名稱：

Date 日期：